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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
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10/666,402

09/17/2003

Xin Xue

SONY-26500

9325

28960 7590 03/04/2009  
HAVERSTOCK & OWENS LLP  
162 N WOLFE ROAD  
SUNNYVALE, CA 94086

EXAMINER

PRICE, NATHAN E

ART UNIT

PAPER NUMBER

2194

MAIL DATE

DELIVERY MODE

03/04/2009

PAPER

REC'D MAR 07 2009

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

**Office Action Summary**

Application No.

10/666,402

Applicant(s)

XUE ET AL.

Examiner

NATHAN PRICE

Art Unit

2194

– The MAILING DATE of this communication appears on the cover sheet with the correspondence address –  
Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1) ☒ Responsive to communication(s) filed on 11 December 2008.
- 2a) ☐ This action is FINAL. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 4) ☒ Claim(s) 1-85 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-85 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

**Application Papers**

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

**Priority under 35 U.S.C. § 119**

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

**Attachment(s)**

- 1) ☐ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☒ Information Disclosure Statement(s) (PTO/SB/08)  
Paper No(s)/Mail Date See Continuation Sheet.

- 4) ☐ Interview Summary (PTO-413)  
Paper No(s)/Mail Date, \_\_\_\_\_.
- 5) ☐ Notice of Informal Patent Application
- 6) ☐ Other: \_\_\_\_\_.

Continuation of Attachment(s) 3). Information Disclosure Statement(s) (PTO/SB/08), Paper No(s)/Mail Date :10/14/2008; 10/27/2008; 12/29/2008; 01/19/2009; 01/19/2009.

### **DETAILED ACTION**

1. Claims 1 – 85 are pending.
2. This Office Action is in response to communications received 11 December 2008. Previous objections and rejections not included in this Office Action have been withdrawn.

### ***Response to Arguments***

3. Applicant's arguments filed 11 December 2008 have been fully considered but they are not persuasive. Regarding claim 1, Applicant argues Kloba fails to teach selectively filtering content and a middleware filter only sending the filtered content. Examiner respectfully disagrees. Kloba teaches filtering content and sending only the filtered content by sending changed objects [col. 14 lines 46 – 50]. Kloba clearly describes the server 104, which corresponds to the claimed middleware filter, receiving multiple objects and sending only objects that have changed to the client 108, which corresponds to the claimed first network device [col. 14 line 64 – col. 15 line 2]. The server 104 collects all resources [col. 14 lines 62 – 63] before filtering the collection of objects and sending only the changed objects [col. 14 line 64 – col. 15 line 2].

4. Applicant's arguments regarding the other claims appear to be similar to arguments regarding claim 1. Accordingly, see the response to Applicant's arguments regarding claim 1 for the response to Applicant's arguments regarding the other claims.

***Claim Rejections - 35 USC § 102***

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless --

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

5. Claims 1 – 18 and 20 – 85 are rejected under 35 U.S.C. 102(b) as being anticipated by Kloba et al. (US 6,341,316 B1; hereinafter Kloba).

6. As to claim 1, Kloba teaches a network of devices to filter synchronized data, the network of devices comprising:

a content server to store content [col. 8 lines 15 – 28; col. 12 lines 35 – 45];

a first network device [col. 8 lines 15 – 28; col. 12 lines 35 – 45]; and

a middleware filter coupled to the first network device and to the content server such that during a data synchronization, content is received by the middleware filter from the content server according to the data synchronization and the middleware filter is programmed to selectively filter the content resulting in filtered content and send only

Art Unit: 2194

the filtered content to the first network device [col. 5 lines 41 – 52; col. 14 lines 29 – 53; col. 20 lines 15 – 34].

7. As to claim 2, Kloba teaches the content sent by the content server includes metadata [Table 3; col. 6 lines 1 – 38; col. 15 lines 15 – 34].

8. As to claim 3, Kloba teaches the metadata includes a data type of the content [Table 3; col. 6 lines 1 – 38; col. 15 lines 15 – 34].

9. As to claim 4, Kloba teaches the middleware filter reads the metadata of the content received from the content server and sends the content to the first network device if the data type of the read metadata matches an authorized data type associated with the first network device [Table 3; col. 6 lines 1 – 38; col. 15 lines 15 – 34].

10. As to claim 5, Kloba teaches the middleware filter stores the authorized data type of the first network device [col. 4 line 66 – col. 5 line 6; col. 8 lines 31 – 37].

11. As to claim 6, Kloba teaches the metadata includes an authorized network device type [Table 3; col. 5 line 64 – col. 6 line 38].

12. As to claim 7, Kloba teaches the middleware filter reads the metadata of the content received from the content server and sends the content to the first network device if the authorized network device type of the read metadata matches a network device type associated with the first network device [Table 3; col. 5 line 64 – col. 6 line 38].

13. As to claim 8, Kloba teaches the middleware filter stores the network device type of the first network device [col. 4 line 66 – col. 5 line 6; col. 8 lines 31 – 37].

14. As to claim 9, Kloba teaches the metadata is added to the content by the content server [Fig. 1; col. 28 lines 20 – 22].

15. As to claim 10, Kloba teaches the metadata includes data synchronization information corresponding to the data synchronization [col. 22 lines 12 – 37].

16. As to claim 11, Kloba teaches a display coupled to the middleware filter to display the data synchronization information [col. 8 lines 55 – 60; col. 12 lines 59 – 60].

17. As to claim 12, Kloba teaches the data synchronization is a one-way data synchronization [col. 14 lines 46 – 53].

18. As to claim 13, Kloba teaches the data synchronization is a bi-directional data synchronization [col. 5 lines 35 – 40].
19. As to claim 14, Kloba teaches the middleware filter is within a second network device and the second network device comprises a personal computer [col. 8 lines 15 – 28].
20. As to claim 15, Kloba teaches the first network device comprises a PDA [col. 10 lines 32 – 42].
21. As to claim 16, Kloba teaches the content server comprises a web server [col. 4 lines 54 – 58; col. 27 lines 12 – 24].
22. As to claim 17, Kloba teaches the middleware filter is within a second network device and the second network device comprises a server [col. 5 lines 41 – 67; col. 6 lines 25 – 38; col. 14 lines 29 – 53].
23. As to claim 18, Kloba teaches a second network device coupled in between the content server and the first network device, wherein the second network device includes the middleware filter [col. 5 lines 41 – 52; col. 7 line 66 – col. 8 line 7].
24. As to claim 20, see the rejections of claims 1, 14, 15 and 18.



25. As to claims 21 – 33, see the rejections of claims 2 – 13 and 16.
26. As to claim 34, see the rejections of claims 1 and 18.
27. As to claims 35 – 50, see the rejections of claims 2 – 17.
28. As to claim 51, see the rejection of claim 1. Also, see Kloba column 5 lines 41 – 67 and column 20 lines 15 – 18.
29. As to claims 52 – 65, see the rejections of claims 2 – 13, 15 and 16.
30. As to claims 66 – 78, 80 and 81, see the rejections of claims 1 – 13, 15 and 16.
31. As to claim 79, see the rejections of claims 14 and 18.
32. As to claim 82, see the rejections of claims 17 and 18.
33. As to claims 83 – 85, see the rejection of claim 1.

***Claim Rejections - 35 USC § 103***

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

34. Claim 19 is rejected under 35 U.S.C. 103(a) as being unpatentable over Kloba (US 6,341,316 B1).

35. As to claim 19, Kloba at least implies the content server can include the middleware filter because Figure 36 shows the clients connected to the server without showing an external content provider. Furthermore, Kloba teaches the providers can include a server that provides content and is similar to the server 104 shown in Figure 1 [col. 12 lines 35 – 44]. Therefore, it is at least implied that the server in Figure 36 can also provide the content, making it obvious to have the content server include the middleware filter.

### ***Conclusion***

Any inquiry concerning this communication or earlier communications from the examiner should be directed to NATHAN PRICE whose telephone number is (571)272-4196. The examiner can normally be reached on 8:30am - 5:00pm, Monday - Friday.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Meng-Ai An can be reached on (571) 272-3756. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Meng-Ai An/  
Supervisory Patent Examiner, Art Unit 2195

NP

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
(Not for submission under 37 CFR 1.99)

Application Number	10666402
Filing Date	2003-09-17
First Named Inventor	Xin Xue
Art Unit	2194
Examiner Name	Price, Nathan E.
Attorney Docket Number	SONY-26500

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/NP/	1	20040089731	A1	2004-05-06	Putterman et al.	

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Art Unit	2194
Examiner Name	Price, Nathan E.
Attorney Docket Number	SONY-26500

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Examiner Signature	/Nathan Price/	Date Considered	02/27/2009
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FORM PTO-1449  
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DEC 29 2009

U.S. Department of Commerce  
Patent and Trademark Office

Attorney Docket No.: SONY-26500

Serial No.: 10/666,402

INFORMATION DISCLOSURE STATEMENT BY APPLICANT  
(Source Sheets If Necessary)

Applicant: Xin Xue et al.

Filing Date: September 17, 2003

Group Art Unit: 2194

(37 CFR § 1.98(b))

## U.S. PATENT DOCUMENTS

Examiner Initials		Patent Number/ Publication Number	Issue Date/ Publication Date	Applicant / Patentee	Class	Subclass	Filing Date
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Attorney Docket No.: SONY-26500

Serial No.: 10/666,402

INFORMATION DISCLOSURE STATEMENT BY APPLICANT  
(Use Several Sheets If Necessary)

Applicant: Xin Xue et al.

(37 CFR § 1.8(b))

Filing Date: September 17, 2003

Group Art Unit: 2194

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/NP/	AU	Michael Ehmantraut, Theo Harder, Hartmut Wittig, Ralf Steinmetz, "The Personal Electronic Program Guide-Towards the Pre-selection of Individual TV Programs", 1996 ACM 0-89791-873-8/96/11, Pages 243-250, CIKM 96, Rockville MD USA.
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	<b>Art Unit</b>	2194	
	<b>Examiner Name</b>	Price, Nathan E.	
<b>Attorney Docket Number</b>		SONY-26500	

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/NP/	1	7404011	B2	2008-07-22	Hansmann et al.	
/NP/	2	7197049	B2	2007-03-27	Engstrom et al.	
/NP/	3	6189046	B1	2001-02-13	Moore et al.	
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Art Unit	2194
Examiner Name	Prie, Nathan E.
Attorney Docket Number	SONY-26500

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OCT 27 2009

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INP/	AA	7,376,386 B2	05/20/08	Phillips et al.	455	3.01	06/02/03
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